

**Investor Complaints & Grievances**  
**Broking and Depository**  
**Annexure - B/C**

**SPS**

**JANUARY-2024**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6		7	8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges/ Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	<b>Grand Total</b>	0	0	0	0	0	0	0

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2023	0	0	0	0
2	May-2023	0	0	0	0
3	Jun-2023	0	0	0	0
4	Jul-2023	0	0	0	0
5	Aug-2023	0	0	0	0
6	Sep-2023	0	0	0	0
7	Oct-2023	0	0	0	0
8	Nov-2023	0	0	0	0
9	Dec-2023	0	0	0	0
10	Jan-2024	0	0	0	0
11	Feb-2024				
12	Mar-2024				
	<b>Grand Total</b>				

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	2	2	0
4	2022-23	0	0	0	0
5	2023-24	0			
	<b>Grand Total</b>				

**SPS Share Brokers Private Limited**

4C VULCAN INSURANCE BUILDING 4TH FLOOR NEXT TO GAYLORD RESTAURANT CHURCHGATE MUMBAI – 400020  
SEBI REGN NO BROKING: INZ000206136 SEBI REGN NO CDSL DP: IN-DP-80-2015 CIN: U67190MH1997PTC109343

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